

DOCKET NO. 216593US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kou HASEGAWA

SERIAL NO: 09/995,613

GROUP ART UNIT: 1765

FILED: November 29, 2001

EXAMINER: Lan VINH

FOR: POLISHING METHOD

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JUN 11 2003

TC 1700

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant wishes to disclose the following information.

**REFERENCES**

- ☒ The Applicant wishes to make of record the references listed on the attached Form PTO-1449. A copy of the listed reference is attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

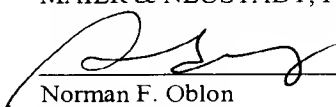
- ☒ Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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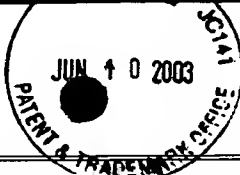
TC 1700

Re: In re Application of: Kou HASEGAWA  
U.S. Application Serial No.: 09/995,613  
Filing Date: November 29, 2001  
For: POLISHING METHOD  
Group Art Unit: 1765  
Examiner: Lan VINH



STATEMENT OF RELEVANCY

Reference WO 99/24218 listed in the attached Form PTO-1449 corresponds to reference TW 87117983 cited in an Office Action in a corresponding Taiwan application and was brought to Applicant's attention by their Taiwan attorney on March 11, 2003. A copy of the letter from the Taiwan attorney (Taiwan International Patent & Law Office) is attached.



#7

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
216593US0SERIAL NO.  
09/995,613

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Kou HASEGAWAFILING DATE  
November 29, 2001GROUP  
1765

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TC 1700

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO	WO 99/24218	05/20/99	David B. JAMES et al		
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.